

Dhani Stocks Limited

(Formerly Indiabulls Securities Limited & Indiabulls Commodities Limited)

Corporate Identity Number (CIN): U74999DL2003PLC122874

Correspondence Office: Indiabulls House, 448-451, Udyog Vihar, Phase V, Gurgaon-122016, Haryana,

Client Helpline: 022-61446300, **Fax:** 0124 6681111 **Registered Office:** M-62 & 63, First Floor, Connaught Place, New

Delhi – 110001 **Phone No:** 011 30252900 **Fax:** 011 30252901 **E-mail:** helpdesk@dhani.com;

Grievances ID: grievances_dsl@dhani.com **Website:** www.dhanistocks.com

SARAL – ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING IN CASH SEGMENT

I. KYC – Please fill this form in BLOCK LETTERS

A. IDENTITY DETAILS

1. Name of the Applicant: _____

2. Father's / Spouse Name: _____

3. a. Gender: Male Female **b. Marital Status:** Single Married

c. Date of Birth: _____ (DD/MM/YYYY)

4. Nationality: _____ **5a. PAN:** _____ **5b. Aadhaar Number, if any:** _____

6. Specify the proof of Identity submitted: _____

B. ADDRESS DETAILS

1. Residence/Correspondence Address: _____

City/Town/Village: _____ **Pin Code:** _____ **State:** _____ **Country:** _____

2. Contact Details: Tel (Off.) _____ **Tel (Res.)** _____ **FAX:** _____

Mobile No. _____ **Email ID:** _____

3. Permanent Address (if different from above address): _____

City/Town/Village: _____ **Pin Code:** _____ **State:** _____ **Country:** _____

4. Specify the proof of address submitted for Residence/Correspondence/Permanent address: _____

DECLARATION: I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the Applicant: _____
(DD/MM/YYYY)

Date: _____

Originals verified and Self-Attested Document copies received (_____)

Date _____

Name & Signature of the Authorised Signatory

**Seal/Stamp of Dhani Stocks Limited
(Formerly Indiabulls Securities
Limited & Indiabulls Commodities
Limited)**

II. OTHER DETAILS

1. Bank Account Details

Bank Name	Branch Address	Bank Account No.	Account Type	MICR Number	IFSC Code
			<input type="checkbox"/> Saving <input type="checkbox"/> Current		

2. Demat Account Details (incase the client does not have DP account, this column may be crossed)

DP Name	NSDL/CDSL	Beneficiary Name	DP ID	BO ID

3. Whether DP account is also to be opened with the same intermediary: Yes No

4. Trading Preferences: Please sign the relevant boxes where you wish to trade

Exchange	Sign	Exchange	Sign
NSE		BSE	

5. Mode of receiving Contract Note/ Statement of Account: Physical Electronic (Please indicate your preference)

6. Standing instructions to receive credits automatically into my BO account: Yes No

7. Nomination details (Name, PAN, Address and Phone no. of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)

<input type="checkbox"/> I wish to nominate		<input type="checkbox"/> I do not wish to nominate	
Name of the Nominee		Relationship with the Nominee	
PAN of the Nominee		Date of Birth of the Nominee	
Address of the Nominee			
Phone No.			
If Nominee is a Minor, details of Guardian			
Name of the Guardian			
Address of the Guardian			
Phone No.			
Guardian's Signature			
Witnesses (Only applicable in case the account holder has made nomination)			
Name:		Name:	
Address:		Address:	
Signature:		Signature:	

I have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Signature of the Applicant: _____ Date: _____ (DD/MM/YYYY)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

DP Name	NSDL/CDSL	Beneficiary Name	DP ID	BO ID

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

Signature of the Authorised Signatory

Date: _____

Seal/Stamp of Dhani Stocks Limited
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NOTE: This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.